

ENCLOSURE 2:

**UNITED STATES NAVAL ACADEMY
SELF-ASSESSMENT**





THE UNDER SECRETARY OF THE NAVY
WASHINGTON DC 20350-1000

ACTION MEMO

FOR: DIRECTOR, DEPARTMENT OF DEFENSE SEXUAL ASSAULT
PREVENTION AND RESPONSE OFFICE

FROM: Robert O. Work, Under Secretary of the Navy

Robert O. Work 11/27/12

SUBJECT: Department of Defense Annual Report on Sexual Harassment and
Violence at the U.S. Military Service Academies

- TAB A constitutes the United States Naval Academy's Submission to the Academic Program Year (APY) 2011-2012 Report on Sexual Harassment and Violence.
- This report is due to Congress on 31 December 2012.
- This report is to be transmitted for submission to the Committees on the Armed Services of the Senate and the House of Representatives in accordance with Section 532 of Public Law No. 109-364.

RECOMMENDATION: Consolidate TAB A into the APY 2011-2012 Report on Sexual Harassment and Violence at the U.S. Military Service Academies and submit the report to the Senate and House Committees on Armed Services.

Approve _____ Disapprove _____

COORDINATION: TAB B

Attachments:

As stated

Prepared by: LtCol Thomas Witczak, DON-SAPRO, (703) 614-5729

Academic Program Year 2011-2012 Annual Report on Sexual Harassment and Violence at the Military Service Academies: U.S. Naval Academy (USNA)

Programmatic Data

The purpose of this report is to determine the effectiveness of policies, training, and procedures of the Academy with respect to sexual harassment and violence involving Academy personnel for Academic Program Year (APY) 2011-2012.

Note: The APY 11-12 is specifically from June 1, 2011 to May 31, 2012

Executive Summary

The prevention and eventual elimination of sexual harassment, sexual misconduct, alcohol misuse, sexual assault and gender bias remain a top priority at the U.S. Naval Academy. There is continued strong support from the Superintendent, the Commandant of Midshipmen and their staffs, as well as the Brigade of Midshipmen leadership to focus on leadership, ownership, and standards in fostering a command climate of dignity and respect that encourages high levels of individual and professional ethical behavior, empowerment of Midshipmen to promote cultural change, exercise responsibility, and address unprofessional behaviors that contribute to the "spectrum of sexual harm" and ultimately prevent them.

The United States Naval Academy demonstrates its clear commitment to both the spirit and intent of the Department of Defense and the Department of the Navy Sexual Assault Prevention and Response (SAPR) Programs by continuing to improve its primary prevention program known as the Sexual Harassment Assault Prevention Education Program (SHAPE), providing timely and effective training for the faculty and staff, the Brigade of Midshipmen, Victim Advocates, Midshipmen SHAPE peer educators, Sexual Assault Response Guidance, Understanding, Information, Direction, Education (GUIDE) educators, and other internal and external stakeholders in the prevention and response programs in a continuing effort to improve communications and increase program effectiveness.

1. Program Overview

1.1. Prevention of Sexual Harassment (POSH) and Sexual Assault Prevention and Response (SAPR)

- USNA SAPR program is overseen by an active duty Navy Captain Sexual Assault Response Coordinator (SARC) and active duty Navy Lieutenant Commander Deputy SARC (DSARC), assisted by three full-time civilian staff to include the Director of Education and Clinical Services (DECS), Training Specialist, and Senior Victim Advocate. The USNA SAPR office is responsible for the overall SAPR program for both the Brigade of Midshipmen and members of the faculty and staff. The SARC works directly for the Office of the Superintendent and coordinates closely with the Office of the Commandant of Midshipmen in the execution of her duties.
- USNA POSH program is overseen by the Equal Opportunity Advisor (EOA) who, like the SARC, works directly for the Office of the Superintendent, and coordinates closely with the Office of the Commandant of Midshipmen. The EOA oversees the overall USNA POSH program with assistance from the Brigade, Faculty and Staff Command Managed Equal Opportunity (CMEO) Managers and assistants.
- Authorizing regulations for both SAPR and POSH include the following external and local directives:
 - COMDTMIDNINST 1752.1E Sexual Assault Prevention and Response (SAPR) Program (11JUL11)
 - COMDTMIDSINST 5354.1A Equal Opportunity (EO) Program for the Brigade of Midshipmen (29JUN04)
 - USNAINST 1752.2B Sexual Assault Prevention and Response (SAPR) Program (23JUN11)
 - USNAINST 5354.1A Command Managed Equal Opportunity (CMEO) Program (09FEB09)
 - USNAINST 5354.5C Prevention and Deterrence of Sexual Harassment, Misconduct and Assault (23JUN11)
 - USNAINST 12713.7A Sexual Harassment Discrimination (08JAN90)
 - OPNAV 1752.1B Sexual Assault Victim Intervention (SAVI) Program (29DEC06)
 - OPNAV 5354.1F CH-1 Navy Equal Opportunity Policy (20SEP11)
 - OPNAV 5800.7A Victim and Witness Assistance Program (VWAP) (04MAR08)
 - SECNAV 1752.4A Sexual Assault Prevention and Response (01DEC05)
 - SECNAV 5300.26D Department of the Navy (DON) Policy on Sexual Harassment (03JAN06)
 - SECNAV 5350.16A Equal Opportunity (EO) within the Department of the Navy (18DEC06)

2. Institutionalize Prevention Strategies in the Military Community

2.1. Policies, Training and Procedures

- Sustained program continuity by ensuring the SARC, DSARC, Victim Advocates, Faculty Liaisons, Midshipmen Sexual Assault Response (SAR) GUIDEs, Midshipmen SHAPE peer educators, and legal support personnel maintained current training and qualification standards.
- Increased prevention and response efforts and progress related to sexual harassment and assault in a greater variety of forums such as Reform and summer training briefs to the Brigade of Midshipmen, outreach efforts to Naval Academy Athletic Association (NAAA) staff (coaches, trainers, therapists), Blue and Gold Officers (admissions), USNA Sponsor families, newly reporting faculty and staff, Company Officers, and Senior Enlisted Leaders.
- Provided the opportunity for Midshipmen SAR GUIDEs to continue their SAPR education and qualify as Victim Advocates prior to their transfer to the Fleet.
- Continued exit interviews with female Midshipmen separating from the Academy and added interviews for male Midshipmen to ascertain their perceptions of the command climate, providing a final opportunity to report incidents of sexual harassment and assault and determine if their departure is gender related.
- Assisted in the administration of the DoD Service Academies Gender Relations Focus Groups in April 2012.
- Increased recruiting efforts in order to expand the number of Midshipman SAR GUIDEs and successfully qualified over 50 Midshipmen in preparation for APY 12-13, an increase of approximately 30 percent over previous years.
- Continued reinforcement by the Superintendent and Commandant of Midshipmen of USNA's support of SAPR and POSH policies, a culture of "Dignity and Respect," during Superintendent and Commandant "calls" with the Brigade of Midshipmen throughout the academic year.
- Continued improvement to the USNA SAPRO website in order to provide better clarity and ease of use, increased information (FAQs) and the inclusion of an "Ask the SARC" function which allows users (primarily aimed at Midshipmen) the ability to ask questions, or provide commentary either anonymously (with no direct response to the sender available), one-on-one response (non-public), or fully public responses via the associated internal blog directly to the SARC.

- Continued efforts in ensuring the SHAPE program provides both the Midshipmen peer educators and the Brigade at large the most relevant information with respect to sexual harassment and assault. SHAPE specifically includes education on risk reduction, myth deconstruction, bystander intervention, leadership responsibilities and legal aspects of sexual harassment and assault. Specifically:
 - Integrated Midshipmen First Class (1/C), or senior year, SHAPE topics into the Capstone program to leverage the fleet experience of the senior enlisted and officers that facilitate and monitor each Capstone session. This is achieved through the use of vignettes coupled with discussion, problem definition, command climate, courses of action and conflict resolution with respect to sexual harassment and assault from the leadership perspective.
 - Midshipmen Fourth Class (4/C) continue to receive 4 hours of SHAPE instruction each APY (2 hours per semester)
 - Midshipmen Third Class (3/C) continue to receive 3 hours of SHAPE instruction each APY (2 hours in the fall semester and 1 hour in the spring)
 - Midshipmen Second Class (2/C) continue to receive 2 hours of SHAPE instruction each APY (1 hour each semester)
 - SHAPE lesson topics are further reinforced through the use of guest speakers or performances such as Sex Signals, 1-in-4, Ms. Anne Munch and Coach Joe Ehrmann throughout the academic year.
 - All Midshipmen receive SHAPE instruction (appropriate to their year group) through the regularly scheduled sessions, make-up sessions or in certain instances, one-on-one (face to face) sessions to ensure full exposure to the program.

- USNA maintains a robust relationship and frequent interaction with SAPR experts such as Dr. John Foubert, Dr. Christopher Kilmartin and Dr. Gail Stern to enhance USNA's education efforts from both leadership and cultural perspectives.

- USNA SAPRO maintains productive information exchanges with the Anne Arundel Sexual Assault Response Team (SART) and other local sexual assault and domestic violence organizations such as the Maryland Coalition Against Sexual Assault (MCASA), the Rape, Abuse and Incest National Network (RAINN) (and through them access to local intervention centers), and YWCA Annapolis.

- USNA SAPRO regularly provides program information and support to the USNA Public Affairs Office when addressing inquiries from local and/or national news media, and provides consultation in support of various POSH or SAPR related articles presented in the USNA electronic newspaper, "The TRIDENT."

3. Increase Climate of Victim Confidence Associated with Reporting

3.1 Increase Reporting

- Active involvement from all levels of the USNA Chain of Command in the consistent and positive reinforcement towards a culture of “Dignity and Respect,” “Ownership and Standards,” the promotion of sexual assault awareness and prevention, the continuation of efforts to build an honor and ethical foundation for Midshipmen by focusing on leadership, unity, constituency and community therefore ensuring a safe, professional environment.
- Reporting and available care options are publicized through the USNA SAPRO website, SHAPE, and a variety of briefings to the Midshipmen, faculty and staff, sponsor parents, Blue and Gold Officers, Midshipmen Team Captains (new initiative) and are integrated into SHAPE peer educator and SAR GUIDE qualification requirements, as well as training for Victim Advocates, Faculty Liaisons, Healthcare personnel and Command Chaplains.
- Initiation of a new program, “SAPR Safe Space,” whereby trained and qualified first responders (e.g., SAPRO staff, Chaplains, Midshipmen Development Center personnel, Faculty Liaisons, Victim Advocates, and Midshipmen SAR GUIDEs) are provided with an easily identifiable “Safe Space” plaque to place on their room or office door that indicates the area is a place that a Midshipman can receive confidential sexual harassment or assault assistance and be guided into the POSH and/or SAPR system appropriately.
- Conducted monthly multi-disciplinary Sexual Assault Case Management Group (SACMG) meetings to review and track progress on current open cases, facilitate program updates, discuss process improvements, share ideas and ensure program accountability and victim access to services.
- Conducted quarterly Victim Advocate training meetings, ensuring Victim Advocates have the opportunity to share new ideas, discuss system processes, share recent experiences and lessons learned, recommend process improvements, provide information on policy changes (USNA, DoN and DoD) in order to continue to ensure consistency of response, maximize support, and increase timeliness whenever possible.
- Confidentiality continues to be a priority and has been successfully maintained within the SAPRO organization and Chain of Command. Affording people dignity and respect with an emphasis on both the victim and accused; suspension of judgment or opinions; control of rumors and misinformation; and avoiding speculation are stressed for all Midshipmen, faculty, and staff.
- Feedback through SHAPE website, SHAPE peer educators, SAR GUIDEs, “Ask the SARC,” or directly to SAPRO staff via email or phone is encouraged; and responded to appropriately.

4. Improve Sexual Assault Response

4.1 Improve Response

- Response is provided 24/7 with an on-call SAR Guide (academic year only), Victim Advocate, Senior Victim Advocate (VA), and SARC. Contact information is available via the SAPRO website and provided to key watch stations as a ready reference.
- USNA has trained one EOA, two CMEOs, one SARC, one DSARC, one Senior VA (full-time civilian), ten VAs, and 51 SAR GUIDEs. Training included initial qualification training (e.g., 40 hours for VA, 18-20 hours for SAR GUIDEs). Refresher training for VAs is scheduled quarterly (10 hours), approximately twice per semester for SAR GUIDEs (10 hours), and annually (2 hours) for faculty and staff liaisons.
- Chaplains, ministry support staff, and medical personnel/healthcare providers receive approximately 2 hours of annual refresher training from the SAPRO staff. Training is normally conducted in a small group format. One-on-one training is available for personnel who are unable to attend a regularly scheduled session.
- Several options for victim transportation are available to VAs in the event that transportation is required; ambulance (emergencies) or access to one of several duty vehicles located on the Yard. Vehicles are available 24/7.
- Durable, plastic information cards with phone numbers and information on restricted and unrestricted reports are provided to all Midshipmen during Plebe summer and are available upon request if lost.
- In preparation for summer training events, Midshipmen Liaison Officers (MLOs) stationed at the major Fleet Concentration Areas (e.g., Norfolk, San Diego, etc.) are provided first responder training (1 hour) and given pertinent information and contact information for USNA SAPRO and local Fleet and Family Service Center (FFSC) resources in case of an incident of sexual harassment or assault occurs during the summer training period.
- In preparation for the arrival of the Freshman Class, USNA SAPRO provides first responder training (1 hour) to all Midshipmen Plebe Summer Detailers. Detailers are provided first responder training and provided pertinent information and contact information for USNA SAPRO resources as a ready reference.
- Advertise available training dates and location for upcoming VA Training to all faculty and staff, interview applicants, and facilitate course registration for volunteers. Once screened, prospective VAs are encouraged to take part in quarterly training and included in all communications relating to their duties.

- USNA does not specifically train criminal investigators, law enforcement, or Naval Health Clinic Annapolis personnel as these individuals receive their training from the Naval Support Activity (NSA) Annapolis SARC with whom the USNA SARC maintains a close working relationship and mutual cooperation.

5. Improve System Accountability

5.1 USNA executes its oversight of programs supporting the prevention of and response to sexual harassment and assault primarily and through its SAPR and CMEO offices, which report directly to the Superintendent.

- The SAPRO is headed by a Navy Captain that serves as the SARC for the entire command. The SARC is assisted by four full-time staff members, including a Lieutenant Commander DSARC, a Director of Clinical Services and Education (GS-12 civilian), a Training Specialist (GS-11 civilian), and a Senior VA (GS-10 civilian). Additionally, the SAPRO is augmented by a full-time Professor of Sociology from the Department of Leadership, Ethics, and Law who assists with education program assessment and academic research related to the culture of sexual assault.
- The CMEO program is overseen by the EOA who reports directly to the Superintendent and has a CMEO (E-8) responsible for the faculty and staff, and a CMEO (Lieutenant) responsible for the Brigade of Midshipmen.

5.2 System Accountability Synopsis

- Program management reviews:
 - Annually through the participation and review of Defense Manpower Data Center (DMDC) survey and focus group results.
 - Annually through the DoD APY Reports on Sexual Harassment and Violence at the U.S. Military Academies.
 - Bi-annual visit from DoD and DoN SAPRO.
 - Annual Command Climate Surveys.
- Comprehensive metrics to collect, analyze, and report on program improvements.
 - Fourth Class Midshipmen are provided an opportunity to participate in a questionnaire to measure rape myth acceptance among the USNA student body. This baseline data will be compared to data collected as part of their 1/C Capstone sessions to enable USNA to determine the effectiveness of sexual harassment and prevention education.
 - Data is collected through DMDC surveys and focus group.
 - "Knowledge level" questions are used during Midshipman 2/C SHAPE sessions in the Spring semester to measure knowledge accuracy, depth, and level of retention.

- Standardized SAPR/POSH requirements, terminology, protocols, and guidelines for instructional materials.
 - Incorporated into Midshipmen Qualification Standards (MQS), a professional development program that extends throughout the four year Midshipman education and development continuum.
 - Ensure consistency of training across SAR GUIDEs, SHAPE Peer Educators, Victim Advocates, Faculty Liaisons, CMEOs, as well as Faculty and Staff.

- Research and data collection activities that have taken place at the Naval Academy during the past fiscal year:
 - Voluntary baseline survey of all incoming freshmen with respect to their views on sexual harassment and assault. (August 2011 and 2012). The goal is to compare to similar data from seniors in order to assist in improving the SHAPE program and assisting with overall strategic communications from the SAPR and CMEO offices.
 - Command Climate survey.
 - DMDC Survey (April 2012).

6. Improve Knowledge and Understanding of SAPR

6.1. Stakeholders

Internal: Superintendent, Commandant of Midshipmen, Brigade of Midshipmen, Faculty and Staff (Military and Civilian).

External: Congress, USNA Board of Visitors, DoN, Alumni, Parents and Guardians, Sponsor Families.

- Continue to increase awareness of sexual harassment and assault across the stakeholder spectrum through both focused and recurring training; increase access to SAPR material through the USNA intranet and internet; increase outreach to various groups in a continuing effort to “level the playing field;” update information on sexual harassment and assault and associated DoD, DoN and USNA policies.

- Collaboration includes interaction with the local Sexual Assault Response Team, Maryland Coalition Against Sexual Assault, other Military Service Academies, and other USNA Departmental points of contact.

6.2. Please describe the policies, training, procedures, and initiatives implemented or advanced during APY 11-12 to inform and educate the stakeholders listed above about sexual harassment and sexual assault.

- Continued to heighten faculty, staff, and support personnel prevention and response awareness through focused recurrent training and ready access to

pertinent information.

- Continued to upgrade the USNA SAPRO intranet website for ease of navigation and additional features that provide facts, points of contact, and one-click access for Midshipmen, faculty, and staff.
- Increased collaboration between Brigade CMEO, Faculty and Staff CMEO, EOA, and Diversity Office.
- Implemented an overview of the USNA SAPR program to all freshmen within the first 14 days of reporting. Additional training is conducted after a short period of time (1-2 weeks) with a presentation by a member of the SAPRO staff to reinforce prevention and response information, respond to any questions or comments, and provide subject clarification regarding the material previously presented.
- Increased and more in-depth SAPR refresher training targeting Company Officers and Senior Enlisted Leaders, including a panel of Ensigns to respond to questions and provide commentary from their point of view as recently graduated Midshipmen.

7. Data for Cadet/Midshipman Reports

7.1. The total number of reports of sexual assault involving Midshipmen decreased from 22 in APY 10-11 to 13 in APY 11-12. There was one report of sexual assault involving faculty and staff.

Reports of Sexual Assault involving Midshipmen	Restricted	Unrestricted	Restricted to Unrestricted	Total Reports
APY 2010-2011	12	10	5	22
APY 2011-2012	6	7	2	13

Unrestricted Reports:

- During APY 11-12, 9 Unrestricted sexual assault reports were initiated for investigation (two of which were restricted reports that were converted to unrestricted). Of those 9 Unrestricted reports, there were 9 victims, with 8 of those victims being Cadet/Midshipman.
- Offenses for these 9 cases were 3- Rape, 2- Aggravated Sexual Assault, 1- Abusive Sexual Contact, 2- Wrongful Sexual Contact, and 1- Non-Consensual Sodomy.
- During APY 11-12, 13 cases were completed with 15 subjects (4 cases were current APY 11-12 cases, while 9 cases were open prior to APY 11-12 but completed during APY 11-12).
- Of those 15 subjects, only 1 subject is still awaiting command action as of

31May12.

- Dispositions for the 14 remaining subjects are 2- Unknown Offenders, 2- Victim Declined to Participate in the Military Justice Action, 4- Insufficient Evidence to Prosecute, 1- Unfounded by Command, 1- US Civilian Subject Not Subject to the UCMJ, 2- Courts-Martial, 1- Nonjudicial Punishment, and 1- Other Adverse Administrative Action.

Sexual Harassment:

Reports of Sexual Harassment	Formal	Informal	Total Reports
APY 2011-2012	0	10	10

- Out of 10 informal reports, 6 were Midshipmen accused by Midshipmen. Of these cases, two were resolved through the Conduct System and awarded Dignity and Respect (DNR) remediation and four were resolved through the Informal Resolution System.
- Two reports were Midshipmen accused by civilians. Of these cases, one was unfounded, and one was resolved through the Conduct System and awarded DNR.
- Two reports were Midshipmen accused by active duty service members. Of these cases, one was unfounded, and one was resolved through the Conduct System for intentional false claim.

8. Gender Relations Survey

8.1. Results of the survey were not available for this report.

8.2. See above.

9. Plans for APY 12-13

9.1 Courses of Action for APY 12-13

- Review the latest DMDC survey data for recommendations and best practices.
- Continue to meet annually with SARCs, VAs and law enforcement personnel (e.g., NCIS, CID etc.) from the other service academies to share ideas, resolve common issues, discuss lessons learned, and target potential practices for inclusion into USNA's SAPR and POSH programs.
- Incorporate Midshipmen CMEOs into Midn SHAPE Peer Education Program during the summer to ensure equal training across functionality with respect to Sexual Harassment.

- Continue quarterly service academy VTCs.
- Development and inclusion of a “healthy relationships” piece for incorporation into the SHAPE curriculum.
- Continue to find new and innovative ways to measure knowledge levels and retention of material.
- Continue to implement the recommendations from previous and future *Department of Defense Sexual Assault Prevention and Response Office (SAPRO) Annual Reports on Sexual Harassment and Violence at the U.S. Military Academies*, DMDC Surveys, and Focus Group results.
- USNA executes its oversight of programs supporting the prevention and response of sexual harassment and assault primarily through its SAPR and CMEO offices, which report directly to the Superintendent.
 - The SAPRO is headed by a Navy Captain that serves as the SARC for the entire command. The SARC is assisted by four full-time staff members to include a Lieutenant Commander DSARC, a Director of Clinical Services and Education (GS-12 civilian), a Training Specialist (GS-11 civilian), and a Senior VA (GS-10 civilian). Additionally, the SAPRO is augmented by a full-time Professor of Sociology from the Department of Leadership, Ethics, and Law who assists with education program assessment and academic research related to the culture of sexual assault.
 - The CMEO program is overseen by the EOA. The EOA reports directly to the Superintendent and has a CMEO (E-8) responsible for the faculty and staff, and a CMEO (Lieutenant) responsible for the Brigade of Midshipmen.

10. Status of Past Action Items and Recommendations in previous reports

10.1 Action Items:

Implement the APY 10-11 and remaining APY 08-09 recommendations (pg. 22):

APY 08-09

- Identify full-time replacements for the EOA and CMEO.
 - Completed September 2011.

APY 10-11

- Assess the feasibility of implementing the commendations of other academies (pg. 23).
 - Implemented June 2012. Quarterly conference calls ongoing. Annual SARC Conference held at USNA 13-14 September 2012. Conference calls and F2F meetings will facilitate sharing of operational norms, best practices, and allow for discussion of commendations, new ideas, formats, and collaborative ideas that can be taken back to each Military Service Academy (MSA) to see how or if they fit into an existing program or can be implemented to enhance an existing program.
- Continue to hold the SAPR MSA Conference and include sexual harassment and academy Military Criminal Investigating Organization (MCIO).
 - Complete. USNA hosted MSA Conference 13-14 September 2012.
- Establish quarterly conference call with MSA SAPR and POSH program personnel (pg. 23).
 - Complete. Initial conference call conducted 13 June 2012. Follow-on call in planning for 01 December 2012. (MSA Conference covered 4th quarter call).
- Review SHAPE training to identify areas for naval officer incorporation (pg. 50).
 - Complete. USNA SAPRO coordinated with Character Development and Training (CD&T) department personnel to successfully conduct several trial vignettes as part of the CAPSTONE program in the spring semester APY 11-12. Vetted vignettes (3) have been incorporated into the Fall APY 12-13 Capstone program for all 1/C Midshipmen.
- Incorporate USNA SAPRO in 21st birthday celebration and have gender-neutral limits on drinks (pg. 51).
 - Complete. All participants authorized up to three drinks regardless of gender as of October 2011. SARC has met with law enforcement personnel that are present during the celebration to incorporate elements of sexual assault awareness along with alcohol awareness into the event.
- Develop outcome based metrics for prevention training (pg. 51).
 - Planned. Metric development in progress (completion fall semester APY 12-13). Implementation planned for spring semester APY 12-13 during regularly scheduled SHAPE training.
- Continue to recruit volunteer Midshipmen SAR GUIDEs with goal of two per company, one male, one female (pg. 58).
 - In progress. Recent recruitment efforts for APY 12-13 resulted in 51

qualified Midshipmen SAR GUIDEs. USNA will continue steady strain approach to reach recommended goal. Since GUIDEs are volunteer Midshipmen, this will be a continuing process across future APYs.

- Address collateral misconduct misperceptions in training (pg. 59).
 - Complete. Collateral misconduct misconceptions have been, and will continue to be, addressed to the Brigade during SAPRO brief presented at various points during the year (e.g. pre-cruise brief, and Plebe indoc). Also reinforced as part of the SAPR-L training presented to each company August through September 2012.
- Evaluate all reasons for not reporting and address in training and education materials (pg. 59).
 - Complete. Discussed as part of Midshipmen Second Class SHAPE sessions. Also addressed during regularly scheduled SAPR briefs that occur throughout the year to a wide audience in addition to the Brigade.
- Require all Midshipmen Development Center (MDC) licensed providers to submit treatment summary and/or enter into consultation with Naval Health Clinic Annapolis (NHCA) personnel prior to discussing their sexual assault history with NHCA providers (pg. 62).
 - Complete. Midshipmen are counseled to contact their MDC counselor if they are sent to NHCA or NMCC Bethesda for psychological evaluation (for any reason) so that MDC can contact the Health Care Professional (HCP) and provide a treatment summary in order to prevent retraumatization. All patients referred directly from MDC for psychological evaluation have their treatment summary provided to the HCP prior to the NHCA/NMCC appointment. Communication between MDC, NHCA, NMCC and the Brigade Medical Unit (BMU) continue to improve, ensuring patient confidentiality and improving patient care.
- Update MDC local operating procedures and intake documentation (pg. 63).
 - Complete. Informed consent form now provided to every Midshipman upon initial entry into MDC. Midshipmen must understand and agree to conditions in order to participate in counseling. Consent form is provided in written form for the Midshipmen to review and is reviewed verbally with the assigned counselor prior to starting the initial session.
- Appoint a Victim Witness Liaison Officer (VWLO) and implement VWLO recommendations from the APY 08-09 report (pg. 63).
 - Complete. Position filled by personnel in the Commandant's legal office. Currently, NCIS Agent issues 2701 during the initial victim interview, and provides USNA Victim Witness Assistance Coordinator (VWAC) contact information to victim. USNA VWAC then establishes contact with victims and coordinates with the VWLO at the DSO Naval District Washington in cases of sexual assault that involve trial counsel.

- Identify a solution for reliable, expedient resources for Sexual Assault Forensic Exams (SAFE) and transportation for victims to receive SAFE (pg. 64).
 - Complete. Forensic Nurse Examiner services available at one of three medical centers in the Baltimore-Annapolis area. VAs have 24 hour access to an official vehicle for the transportation of victims to the appropriate medical center.
- Ensure victim's commander personally attends the Sexual Assault Case Management Group (SACMG) (pg. 66).
 - Complete. Unrestricted cases are briefed to the Deputy Commandant, Commandant, and Chief of Staff and when appropriate, Company Officers and Senior Enlisted Leaders directly by the SARC.
- Include the Alcohol and Substance Abuse Officer in the SACMG (pg. 66).
 - Complete. On standing attendee list.
- Consolidate all POSH and SAPR outcome data and metrics into a single product organized by the Department's strategic priorities (pg. 67).
 - Implementing. Currently basic sexual assault alcohol related statistics, exit interview information, alcohol incident statistics, sexual misconduct, and sexual assault statistics are provided to the USNA Chain of Command and presented at the SACMG. SAPRO will continue to use the DMDC survey/focus group information and continue work with ADEO/CMEO to leverage annual Brigade climate survey information for trend analysis.
- The VWLO contact information should be listed as a resource to victims on all published SAPR materials (pg. 71).
 - Complete. VWAC contact information is listed on the SAPRO website, provided to victims by the SARC as part of reporting procedures, provided during initial NCIS interview, and follow-up contact by VWAC is performed.
- The current VWLO should receive SAPR and VWLO training as soon as possible. The VWLO is new to his current position and has yet to receive victim witness assistance training or formal SAPR training (pg. 71).
 - In progress. VWAC recently transferred. Individual temporarily acting as VWAC has completed SAPR training and local VWAC training. Incoming replacement had been identified and will receive proper training prior to assuming duties.
- NCIS should provide the VWLO with sexual assault victim contact information in order to engage the victim as soon as possible after the report is received (pg. 71).
 - Complete. VWAC contact information available. NCIS, SARC, VWAC also work closely with respect to each case and further coordinate, at monthly

SACMG, to ensure continuity of service.

- USNA SARC should train appropriate off-base hospital personnel on the correct procedures for mailing SAFE kits to the NCIS Consolidated Evidence Facility in Norfolk, VA.
 - In progress. Initial guidance provided and future training pending.
- USNA must provide the Anne Arundel Medical Center with DoD SAFE kits and protocols. They are currently using SAFE kits provided by the State of MD, which are different and may not meet DoD standards.
 - Complete. Anne Arundel has 3 DoD SAFE kits.

ACADEMIC PROGRAM YEAR 2011 - 2012 SUMMARY OF UNRESTRICTED SEXUAL ASSAULT REPORTS INVOLVING CADETS/MIDSHIPMEN	APY11-12 Totals
Total Cadet/Midshipman victims in all investigations closed in APY11-12*	13
Cadet/Midshipman victims whose reports of sexual assault could be substantiated*	6
Total Cadet/Midshipman subjects in all investigations closed in APY11-12	7
Cadet/Midshipman subjects against whom sexual assault reports could be substantiated	4
Sexual Assault Investigations Involving Cadet/Midshipmen Opened and Completed in APY11-12	APY11-12 Totals
# Cadet/Midshipman victims identified in investigations initiated and closed in APY11-12*	4
# Cadet/Midshipman victims whose reports of sexual assault could be substantiated*	1
# Cadet/Midshipman subjects identified in investigations initiated and closed in APY11-12	2
# Cadet/Midshipman subjects against whom sexual assault reports could be substantiated	0
Sexual Assault Investigations Involving Cadet/Midshipmen Opened Prior to APY11-12 and Completed in APY11-12	APY11-12 Totals
# Cadet/Midshipman victims identified in Pre-APY11-12 investigations closed in APY11-12*	9
# Cadet/Midshipman victims whose reports of sexual assault could be substantiated*	5
# Cadet/Midshipman subjects identified in Pre-APY11-12 investigations closed in APY11-12	5
# Cadet/Midshipman subjects against whom sexual assault reports could be substantiated	4
*Does not include victims from Restricted Reports, per mandate in PL 111-383	
ACADEMIC PROGRAM YEAR 2012 SUMMARY OF RESTRICTED SEXUAL ASSAULT REPORTS INVOLVING CADETS/MIDSHIPMEN	APY11-12 Totals
# Cadet/Midshipman Victims initially making Restricted Reports	6
# Cadet/Midshipman Victims who converted from Restricted Report to Unrestricted Report in the current APY	2
# Cadet/Midshipman Victim Reports Remaining Restricted	4

ACADEMIC PROGRAM YEAR 2011 - 2012 SUMMARY OF UNRESTRICTED SEXUAL ASSAULT REPORTS INVOLVING CADETS/MIDSHIPMEN		APY11-12 Totals
Total Cadet/Midshipman victims in all investigations closed in APY11-12*		13
Cadet/Midshipman victims whose reports of sexual assault could be substantiated*		6
Total Cadet/Midshipman subjects in all investigations closed in APY11-12		7
Cadet/Midshipman subjects against whom sexual assault reports could be substantiated		4
Sexual Assault Investigations Involving Cadet/Midshipmen Opened and Completed in APY11-12		APY11-12 Totals
#	Cadet/Midshipman victims identified in investigations initiated and closed in APY11-12*	4
#	Cadet/Midshipman victims whose reports of sexual assault could be substantiated*	1
#	Cadet/Midshipman subjects identified in investigations initiated and closed in APY11-12	2
#	Cadet/Midshipman subjects against whom sexual assault reports could be substantiated	0
Sexual Assault Investigations Involving Cadet/Midshipmen Opened Prior to APY11-12 and Completed in APY11-12		APY11-12 Totals
#	Cadet/Midshipman victims identified in Pre-APY11-12 investigations closed in APY11-12*	9
#	Cadet/Midshipman victims whose reports of sexual assault could be substantiated*	5
#	Cadet/Midshipman subjects identified in Pre-APY11-12 investigations closed in APY11-12	5
#	Cadet/Midshipman subjects against whom sexual assault reports could be substantiated	4
* Does not include victims from Restricted Reports, per mandate in PL 111-383		
ACADEMIC PROGRAM YEAR 2012 SUMMARY OF RESTRICTED SEXUAL ASSAULT REPORTS INVOLVING CADETS/MIDSHIPMEN		APY11-12 Totals
#	Cadet/Midshipman Victims initially making Restricted Reports	6
#	Cadet/Midshipman Victims who converted from Restricted Report to Unrestricted Report in the current APY	2
# Cadet/Midshipman Victim Reports Remaining Restricted		4

US NAVAL ACADEMY APY11-12 UNRESTRICTED REPORTS OF SEXUAL ASSAULTS		APY11-12 Totals
A. APY11-12 REPORTS OF SEXUAL ASSAULT (rape, aggravated sexual assault, aggravated sexual contact, abusive sexual contact, wrongful sexual contact, non-consensual sodomy, and attempts to commit these offenses) BY or AGAINST Cadets/Midshipman. Note: The data about Unrestricted Reports in Sections A and B below is raw information about allegations received during APY11-12 that will be referred for a criminal investigation. These Unrestricted Reports may not be fully investigated by the end of the academic program year.		
# VICTIMS in APY11-12 Unrestricted Reports		9
# Cadet/Midshipman victims		8
# Non-Cadet/Midshipman victims		1
# Unrestricted Reports in the following categories		
# Cadet/Midshipman on Cadet/Midshipman		9
# Cadet/Midshipman on Non-Cadet/Midshipman		3
# Non-Cadet/Midshipman on Cadet/Midshipman		1
# Non-Cadet/Midshipman on Non-Cadet/Midshipman		3
# Unidentified Subject on Cadet/Midshipman		2
# Unrestricted Reports of sexual assault occurring		
# On Academy Grounds		9
# Off Academy Grounds		6
# Unidentified location		0
# Investigations Initiated (From APY11-12 Unrestricted Reports)		
# Investigations pending completion as of 31 May 12		9
# Completed Investigations as of 31 May 12		4
# All Restricted Reports received in APY11-12		
# Converted from Restricted Report to Unrestricted Report*		6
# APY11-12 RESTRICTED REPORTS REMAINING RESTRICTED		4
B. DETAILS OF UNRESTRICTED REPORTS RECEIVED IN APY11-12		
Length of time between sexual assault and Unrestricted Report		
# Reports made within 3 days of sexual assault		9
# Reports made within 4 to 30 days after sexual assault		3
# Reports made within 31 to 365 days after sexual assault		2
# Reports made longer than 365 days after sexual assault		1
# Unknown		3
# Unknown		0
Time of sexual assault		
# Midnight to 6 am		9
# 6 am to 6 pm		3
# 6 pm to midnight		0
# Unknown		6
Day of sexual assault		
# Sunday		9
# Monday		0
# Tuesday		0
# Wednesday		1
# Thursday		1
# Friday		0
# Saturday		4
# Unknown		2
C. SUMMARY OF ALL INVESTIGATIONS OF UNRESTRICTED REPORTS COMPLETED IN APY11-12		
# Total Investigations completed during APY11-12		13
# Investigations opened in APY11-12 and completed in APY11-12		4
# Of these investigations with more than one victim, more than one subject, or both		0
# Investigations opened prior to APY11-12 and completed in APY11-12		9
# Of these investigations with more than one victim, more than one subject, or both		1
# SUBJECTS in all investigations completed during APY11-12		
# Cadet/Midshipman subjects in completed investigations		7
# Other Service Cadet/Midshipman subjects investigated by your Service		0
# Non-Cadet/Midshipman subjects in your Service's investigations		6
# Unidentified subjects in your Service's investigations		2
# VICTIMS in all investigations completed during APY11-12		
# Cadet/Midshipman victims		13
# Other Service Cadet/Midshipman victims in your Service's investigations		0
# Non-Cadet/Midshipman victims in your Service's investigations		0
# Unidentified victims in your Service's investigations		0

D. FINAL DISPOSITIONS FOR SUBJECTS IN COMPLETED APY11-12 INVESTIGATIONS		APY11-12 Totals
# Investigations opened in APY11-12 and completed in APY11-12	4	4
# SUBJECTS in investigations opened in APY11-12 and completed in APY11-12	4	4
# Cadet/Midshipman Subjects in investigations opened and completed in APY11-12	2	2
# Total Subjects with allegations unfounded by a Military Criminal Investigative Organization	0	0
# Cadet/Midshipman Subjects with allegations unfounded by MCI	0	0
# Non-Cadet/Midshipman Subjects with allegations unfounded by MCI	0	0
# Total Subjects Outside DoD Prosecutive Authority	1	1
# Unknown Offenders	1	1
# US Civilians or Foreign National Subjects not Subject to the UCMJ	0	0
# Cadet/Midshipman Prosecuted by a Civilian or Foreign Authority	0	0
# Subjects who died or deserted	0	0
# Total Command Action Precluded or Declined for Sexual Assault	3	3
# Cadet/Midshipman Subjects where victim declined to participate in the military justice action	1	1
# Cadet/Midshipman Subjects whose investigations had insufficient evidence to prosecute	1	1
# Cadet/Midshipman Subjects whose cases involved expired statute of limitations	0	0
# Cadet/Midshipman Subjects whose cases involved expired statute of limitations	1	1
# Cadet/Midshipman Subjects with allegations that were unfounded by Command	0	0
# Cadet/Midshipman Subjects with victims who died before completion of military justice action	0	0
# Subjects still awaiting command action as of 31 May 12	0	0
# Subjects for whom command action was completed as of 31 May 12	0	0
# APY11-12 Cadet/Midshipman Subjects where evidence supported Command Action	0	0
# Cadet/Midshipman Subjects: Courts-Martial charge preferred (Initiated)	0	0
# Cadet/Midshipman Subjects: Non-judicial punishment (Article 15 UCMJ)	0	0
# Cadet/Midshipman Subjects: Administrative discharges	0	0
# Cadet/Midshipman Subjects: Other adverse administrative actions	0	0
# Cadet/Midshipman Subjects: Courts-Martial charge preferred for non-sexual assault offense	0	0
# Cadet/Midshipman Subjects: Non-judicial punishment for non-sexual assault offense	0	0
# Cadet/Midshipman Subjects: Administrative discharges for non-sexual assault offense	0	0
# Cadet/Midshipman Subjects: Other adverse administrative actions for non-sexual assault offense	0	0
E. FINAL DISPOSITIONS FOR SUBJECTS IN Pre-APY11-12 INVESTIGATIONS		
(Prior year investigations completed in APY11-12) [Investigation Opened prior to the reporting period and Completed within the reporting period by the Service Investigation Agencies]		
# Total Number of Pre-APY11-12 Investigations pending completion at the end of APY10-11 (31-May-11)	9	9
# Pre-APY11-12 Investigations STILL PENDING completion as of 31-May-12	0	0
# Pre-APY11-12 Investigations completed of 31-May-12	9	9
# SUBJECTS in Pre-APY11-12 Investigations completed by 31-May-12	11	11
# Cadet/Midshipman Subjects in Pre-APY11-12 Investigations completed in APY11-12	5	5
# Total Pre-APY11-12 Subjects with allegations unfounded by a Military Criminal Investigative Organization	0	0
# Cadet/Midshipman Subjects with allegations unfounded by MCI	0	0
# Non-Cadet/Midshipman Subjects with allegations unfounded by MCI	0	0
# Total Pre-APY11-12 Subjects Outside DoD Prosecutive Authority	2	2
# Unknown Offenders	1	1
# US Civilians or Foreign National Subjects not Subject to the UCMJ	1	1
# Cadet/Midshipman Prosecuted by a Civilian or Foreign Authority	0	0
# Subjects who died or deserted	0	0
# Total Command Action Precluded or Declined for Sexual Assault	4	4
# Cadet/Midshipman Subjects where victim declined to participate in the military justice action	1	1
# Cadet/Midshipman Subjects whose investigations had insufficient evidence to prosecute	3	3
# Cadet/Midshipman Subjects whose cases involved expired statute of limitations	0	0
# Cadet/Midshipman Subjects with allegations that were unfounded by Command	0	0
# Cadet/Midshipman Subjects with victims who died before completion of military justice action	0	0
# Subjects still awaiting command action as of 31-May-12	1	1
# Subjects for whom command action was completed as of 31-May-12	4	4
# Pre-APY11-12 Cadet/Midshipman Subjects where evidence supported Command Action	4	4
# Cadet/Midshipman Subjects: Courts-Martial charge preferred (Initiated)	2	2
# Cadet/Midshipman Subjects: Non-judicial punishment (Article 15 UCMJ)	1	1
# Cadet/Midshipman Subjects: Administrative discharges	0	0
# Cadet/Midshipman Subjects: Other adverse administrative actions	1	1
# Cadet/Midshipman Subjects: Courts-Martial charge preferred for non-sexual assault offense	0	0
# Cadet/Midshipman Subjects: Non-judicial punishment for non-sexual assault offense	0	0
# Cadet/Midshipman Subjects: Administrative discharges for non-sexual assault offense	0	0
# Cadet/Midshipman Subjects: Other adverse administrative actions for non-sexual assault offense	0	0
* Restricted Reports that convert to Unrestricted Reports are counted with the total number of Unrestricted Reports.		

D1. ASSOCIATED VICTIM DATA FOR COMPLETED APY11-12 INVESTIGATIONS		APY11-12 Totals
# VICTIMS in investigations opened in APY11-12 and completed in APY11-12	4	4
# Cadet/Midshipman Victims in investigations opened and completed in APY11-12	4	4
# Total Victims associated with MCIO unfounded allegations	0	0
# Cadet/Midshipman Victims involved in MCIO unfounded allegations	0	0
# Non-Cadet/Midshipman Victims involved in MCIO unfounded allegations	0	0
# Cadet/Midshipman Victims in substantiated Unknown Offender Reports	1	1
# Cadet/Midshipman Victims in remaining Unknown Offender Reports	0	0
# Cadet/Midshipman Victims in substantiated Civilian/Foreign National Subject Reports	0	0
# Cadet/Midshipman Victims in remaining Civilian/Foreign National Subject Reports	0	0
# Cadet/Midshipman Victims in substantiated reports against a Cadet/Midshipman who is being Prosecuted by a Civilian/Foreign Authority	0	0
# Cadet/Midshipman Victims in remaining reports with a deceased or deserted subject	0	0
# Cadet/Midshipman Victims in remaining reports with a deceased or deserted subject	0	0
# Cadet/Midshipman victims who declined to participate in the military justice action	1	1
# Cadet/Midshipman victims in investigations having insufficient evidence to prosecute	1	1
# Cadet/Midshipman victims whose cases involved expired statute of limitations	0	0
# Cadet/Midshipman victims whose allegations were unfounded by Command	1	1
# Cadet/Midshipman victims who died before completion of the military justice action	0	0
# APY11-12 Cadet/Midshipman Victims in cases where evidence supported Command Action	0	0
# Cadet/Midshipman Victims involved with Court-martial referrals (Initiations) against subject	0	0
# Cadet/Midshipman Victims involved with Nonjudicial punishments (Article 15) against subject	0	0
# Cadet/Midshipman Victims involved with Administrative discharges against subject	0	0
# Cadet/Midshipman Victims involved with Other administrative actions against subject	0	0
# Cadet/Midshipman Victims involved with Court-martial referrals for non-sexual assault offenses	0	0
# Cadet/Midshipman Victims involved with Nonjudicial punishment for non-sexual assault offenses	0	0
# Cadet/Midshipman Victims involved with administrative discharges for non-SA offense	0	0
# Cadet/Midshipman Victims involved with Other administrative actions for non-SA offense	0	0
E1. ASSOCIATED VICTIM DATA FOR COMPLETED Pre-APY11-12 INVESTIGATIONS		
[Investigation Opened prior to the reporting period and Completed within the reporting period by the Service Investigation Agencies]		
# Total Number of Pre-APY11-12 Investigations pending completion at the end of APY10-11 (31-May-11)	9	9
# Pre-APY11-12 Investigations STILL PENDING completion as of 31-May-12	0	0
# Pre-APY11-12 Investigations completed of 31-May-12	9	9
# VICTIMS in investigations opened prior to APY11-12 and completed in APY11-12	9	9
# Total Pre-APY11-12 Victims associated with MCIO unfounded allegations	0	0
# Cadet/Midshipman Victims involved in MCIO unfounded allegations	0	0
# Non-Cadet/Midshipman Victims involved in MCIO unfounded allegations	0	0
# Cadet/Midshipman Victims in substantiated Unknown Offender Reports	1	1
# Cadet/Midshipman Victims in remaining Unknown Offender Reports	0	0
# Cadet/Midshipman Victims in substantiated Civilian/Foreign National Subject Reports	1	1
# Cadet/Midshipman Victims in remaining Civilian/Foreign National Subject Reports	0	0
# Cadet/Midshipman Victims in substantiated reports against a Cadet/Midshipman who is being Prosecuted by a Civilian/Foreign Authority	0	0
# Cadet/Midshipman Victims in remaining reports with a deceased or deserted subject	0	0
# Cadet/Midshipman Victims in remaining reports with a deceased or deserted subject	0	0
# Cadet/Midshipman victims who declined to participate in the military justice action	1	1
# Cadet/Midshipman victims in investigations having insufficient evidence to prosecute	3	3
# Cadet/Midshipman victims whose cases involved expired statute of limitations	0	0
# Cadet/Midshipman victims whose allegations were unfounded by Command	0	0
# Cadet/Midshipman victims who died before completion of the military justice action	0	0
# Pre-APY11-12 Cadet/Midshipman Victims in cases where evidence supported Command Action	3	3
# Cadet/Midshipman Victims involved with Court-martial referrals (Initiations) against subject	2	2
# Cadet/Midshipman Victims involved with Nonjudicial punishments (Article 15) against subject	1	1
# Cadet/Midshipman Victims involved with Administrative discharges against subject	0	0
# Cadet/Midshipman Victims involved with Other administrative actions against subject	0	0
# Cadet/Midshipman Victims involved with Court-martial referrals for non-sexual assault offenses	0	0
# Cadet/Midshipman Victims involved with Nonjudicial punishment for non-sexual assault offenses	0	0
# Cadet/Midshipman Victims involved with administrative discharges for non-SA offense	0	0
# Cadet/Midshipman Victims involved with Other administrative actions for non-SA offense	0	0

G. REPORTED SEXUAL ASSAULTS INVOLVING CODED MEMBERS (BY OR AGAINST CODED MEMBERS) IN THE FOLLOWING CATEGORIES FOR ALL APY11-12 INVESTIGATIONS	Rape (Art. 120)	Aggravated Sexual Assault (Art. 120)	Aggravated Sexual Contact (Art. 120)	Abusive Sexual Contact (Art. 120)	Wrongful Sexual Contact (Art. 120)	Non-Consensual Sodomy (Art. 125)	Indecent Assault (Art. 134) (Pre-FY07)	Attempts to Commit Offense (Art. 80)	Rape (Art. 120)	Aggravated Sexual Assault (Art. 120)	Aggravated Sexual Contact (Art. 120)	Abusive Sexual Contact (Art. 120)	Wrongful Sexual Contact (Art. 120)	Non-Consensual Sodomy (Art. 125)	Attempts to Commit Offense (Art. 80)	APY11-12 Totals
I. DEMOGRAPHICS ON SUBJECTS IN INVESTIGATIONS COMPLETED IN APY11-12	Rape (Art. 120)	Aggravated Sexual Assault (Art. 120)	Aggravated Sexual Contact (Art. 120)	Abusive Sexual Contact (Art. 120)	Wrongful Sexual Contact (Art. 120)	Non-Consensual Sodomy (Art. 125)	Indecent Assault (Art. 134) (Pre-FY07)	Attempts to Commit Offense (Art. 80)	Rape (Art. 120)	Aggravated Sexual Assault (Art. 120)	Aggravated Sexual Contact (Art. 120)	Abusive Sexual Contact (Art. 120)	Wrongful Sexual Contact (Art. 120)	Non-Consensual Sodomy (Art. 125)	Attempts to Commit Offense (Art. 80)	APY11-12 Totals
The information below is drawn from all investigations that were closed during APY11-12, and does not correspond to the data reported in sections F and G, above.	Rape (Art. 120)	Aggravated Sexual Assault (Art. 120)	Aggravated Sexual Contact (Art. 120)	Abusive Sexual Contact (Art. 120)	Wrongful Sexual Contact (Art. 120)	Non-Consensual Sodomy (Art. 125)	Indecent Assault (Art. 134) (Pre-FY07)	Attempts to Commit Offense (Art. 80)	Rape (Art. 120)	Aggravated Sexual Assault (Art. 120)	Aggravated Sexual Contact (Art. 120)	Abusive Sexual Contact (Art. 120)	Wrongful Sexual Contact (Art. 120)	Non-Consensual Sodomy (Art. 125)	Attempts to Commit Offense (Art. 80)	APY11-12 Totals
Gender of SUBJECTS	2	6	1	0	1	1	0	0	1	1	0	1	0	1	15	
# Male	2	6	1	0	1	1	0	0	1	1	0	1	0	1	14	
# Female	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# Unknown	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Age of SUBJECTS	2	6	1	0	1	1	0	0	1	1	0	1	0	1	15	
# 18-24	2	6	1	0	1	1	0	0	1	1	0	1	0	1	14	
# 25-34	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# 35-49	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# 50-64	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# 65 and older	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# Unknown	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
SUBJECT TYPE	2	6	1	0	1	1	0	0	1	1	0	1	0	1	15	
# Service Member	2	6	1	0	1	1	0	0	1	1	0	1	0	1	14	
# DoD Civilian	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# DoD Contractor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# Other US Government Civilian	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# US Civilian	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# Foreign national	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# Prisoner	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# Unknown	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Grade of SUBJECTS	2	6	1	0	1	1	0	0	1	1	0	1	0	1	15	
# 1st Year	2	6	1	0	1	1	0	0	1	1	0	1	0	1	14	
# 2nd Year	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# 3rd Year	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# 4th Year	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# 5th Year	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# Freshman Student	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# Active Duty Service Member	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# Non-Servicemember	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Service of Service Member SUBJECTS	2	6	1	0	1	1	0	0	1	1	0	1	0	1	15	
# Unknown	2	6	1	0	1	1	0	0	1	1	0	1	0	1	14	
# Army	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# Navy	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# Air Force	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# Coast Guard	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# Unknown	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Status of Service Member SUBJECTS	2	6	1	0	1	1	0	0	1	1	0	1	0	1	15	
# Active Duty	2	6	1	0	1	1	0	0	1	1	0	1	0	1	14	
# Reserve (Activated)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# Reserve (Not Activated)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# Cadet/Aviation Student - The 101	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# Cadet/Aviation Student	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
# Unknown	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
<i>Subject Data From Investigations Opened in Prior Years, but closed during APY11-12</i>																
<i>Subject Data From Investigations Opened and Closed in APY11-12</i>																
<i>Subject Data From Investigations Opened in Prior Years, but closed during APY11-12</i>																
<i>Subject Data From Investigations Opened and Closed in APY11-12</i>																

*NOTE: Pursuant to the academic program Year 2012 National Defense Authorization Act change to Article 120 of the Uniform Code of Military Justice, these categories will change on June 28, 2012.

2. Restricted Reports

USNA APY11-12 RESTRICTED REPORTS OF SEXUAL ASSAULT	
A. APY11-12 RESTRICTED REPORTS OF SEXUAL ASSAULT (rape, aggravated sexual assault, aggravated sexual contact, abusive sexual contact, wrongful sexual contact, non-consensual sodomy, and attempts to commit these offenses)	APY11-12 TOTALS
# TOTAL victims initially making Restricted Reports	6
# Cadet/Midshipman victims making Restricted Reports	6
# Non-Cadet/Midshipman and Adult Dependents Service Member Victims making Restricted Report Involving a Cadet/Midshipman Subject	0
# Total victims who converted from Restricted Report to Unrestricted Report in the current FY*	2
# Cadet/Midshipman victims who converted from Restricted Report to Unrestricted Report in current FY	2
# Non-Cadet/Midshipman and Adult Dependents Service Member victims who converted from Restricted Report to Unrestricted Report in current FY	0
# TOTAL victim reports remaining Restricted	4
# Cadet/Midshipman victim reports remaining Restricted	4
# Non-Cadet/Midshipman and Adult Dependents Service Member victim reports remaining Restricted	0
# Reported sexual assaults involving Cadet/Midshipman in the following categories	6
# Cadet/Midshipman on Cadet/Midshipman	3
# Non-Cadet/Midshipman on Cadet/Midshipman	3
# Cadet/Midshipman on Non-Cadet/Midshipman (entitled to a RR by DoD Policy)	0
# Unidentified subject on Cadet/Midshipman	0
B. INCIDENT DETAILS	APY11-12 TOTALS
# Reported sexual assaults occurring	6
# On Academy Grounds	1
# Off Academy Grounds	5
# Unidentified location	0
Length of time between sexual assault and Restricted Report	6
# Reports made within 3 days of sexual assault	1
# Reports made within 4 to 30 days after sexual assault	0
# Reports made within 31 to 365 days after sexual assault	3
# Reports made longer than 365 days after sexual assault	2
# Unknown	0
Time of sexual assault incident	6
# Midnight to 6 am	3
# 6 am to 6 pm	0
# 6 pm to midnight	3
# Unknown	0
Day of sexual assault incident	6
# Sunday	1
# Monday	0
# Tuesday	0
# Wednesday	1
# Thursday	1
# Friday	0
# Saturday	3
# Unknown	0
C. RESTRICTED REPORTING - VICTIM SERVICE AFFILIATION	APY11-12 TOTALS
# Service Member VICTIMS	6
# Army victims	0
# Navy victims	6
# Marines victims	0
# Air Force victims	0
# Coast Guard	0
# Unknown	0
D. DEMOGRAPHICS FOR APY11-12 RESTRICTED REPORTS OF SEXUAL ASSAULT	APY11-12 TOTALS
Gender of VICTIMS	6
# Male	1
# Female	5
# Unknown	0
Age of VICTIMS	6
# 16-19	4
# 20-24	2
# 25-34	0
# 35-49	0
# 50-64	0
# 65 and older	0
# Unknown	0
Grade of Service Member VICTIMS Grade	6
# 1st Year	4
# 2nd Year	1
# 3rd Year	1
# 4th Year	0
# Prep School Student	0
# Service Member	0
# Unknown	0
Status of Service Member VICTIMS	6
# Active Duty	0
# Reserve (Activated)	0
# National Guard (Activated - Title 10)	0
# Cadet/Midshipman	6
# Academy Prep School Student	0
# Unknown	0
VICTIM Type	6
# Service Member	6
# DoD Civilian	0
# DoD Contractor	0
# Other US Government Civilian	0
# US Civilian (Service Member Dependent Over Age 18)	0
# Foreign national	0
# Foreign military	0
# Unknown	0
E. RESTRICTED REPORTING FOR A SEXUAL ASSAULT THAT OCCURRED PRIOR TO JOINING SERVICE	APY11-12 TOTALS
# Cadet/Midshipman VICTIMS making a Restricted Report for Incidents Occurring Prior to Military Service	1
# Cadet/Midshipman Making A Restricted Report for an Incident that Occurred Prior to Age 18	0
# Cadet/Midshipman Making a Restricted Report for an Incident that Occurred After Age 18	1
# Cadet/Midshipman Choosing Not to Specify	0
F. RESTRICTED REPORTS CONVERSION DATA (DSAID USE ONLY)	APY11-12 TOTALS
Mean # of Days Taken to Change to Unrestricted	
Standard Deviation of the Mean For Days Taken to Change to Unrestricted	
Mode # of Days Taken to Change to Unrestricted	
* The Restricted Reports are reports that converted to Unrestricted Reports are counted in the total number of Unrestricted Reports listed in Worksheet 1a, Section A.	

Formal Sexual Harassment Reports at the US Military Service Academies APY11-12	
<p>A form of sex discrimination that involves unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature when:</p> <p>a. Submission to such conduct is made either explicitly or implicitly a term or condition of a person's job, pay, or career, or</p> <p>b. Submission to or rejection of such conduct by a person is used as a basis for career or employment decisions affecting that person, or</p> <p>c. Such conduct has the purpose or effect of unreasonably interfering with an individual's work performance or creates an intimidating, hostile, or offensive working environment.</p>	APY11-12 TOTALS
A. Formal Sexual Harassment Complaints (total)	0
# Cadet/Midshipman Complainants	0
# Non-Cadet/Midshipman Complainants	0
B. Location of Incident	0
# On Academy Grounds	0
# Off Academy Grounds	0
# Unidentified location	0
C. # Total Sexual Harassment Investigations opened during APY11-12	0
# Investigations pending completion as of 31 May 12	0
# Completed investigations as of 31 May 12	0
D. Sexual Harassment Details - Length of time between incident and formal report	0
# Reports made within 60 days of the sexual harassment	0
# Reports made more than 60 days following the sexual harassment	0
# Unknown	0
E. # Reports forwarded to General Court Martial Convening Authority	0
# Reports forwarded to GCMCA within 72 Hours	0
# Reports forwarded to GCMCA beyond 72 Hours	0
F. Time of Sexual Harassment Incident	0
# Midnight to 6 am	0
# 6 am to 6 pm	0
# 6 pm to midnight	0
# Unknown	0
G. Day of Sexual Harassment Incident	0
# Sunday	0
# Monday	0
# Tuesday	0
# Wednesday	0
# Thursday	0
# Friday	0
# Saturday	0
# Unknown	0
H. # APY11-12 COMPLETED INVESTIGATIONS	0
# Investigations with more than one complainant, more than one subject, or both	0
# of Subjects in Completed Investigations	0
I. APY11-12 FINAL DISPOSITIONS FOR SUBJECTS IN COMPLETED INVESTIGATIONS	0
# Subjects with Unsubstantiated Complaints	0
# Pending command action as of 31 May 12	0
# Subjects for whom command action was completed of 31 May 12	0
J. # Commander Actions (APY11-12 Subjects)	0
# Courts-martial	0
# Nonjudicial Punishments	0
# Discharges in lieu of courts-martial	0
# Discharges in lieu of disciplinary actions	0
# Cadet Disciplinary System Actions	0
# Other adverse administrative actions	0

